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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/780,038	02/09/2001	Michael J. Wookey	P5783	8444

32658 7590 04/19/2007
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EXAMINER

WOOD, WILLIAM H

ART UNIT	PAPER NUMBER
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2193

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	04/19/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

09/780,038

Applicant(s)

WOOKEY, MICHAEL J.

Examiner

William H. Wood

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 31 May 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5,7,8,12-14,16-18,22,23 and 25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5,7,8,12-14,16-18,22,23 and 25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claims 1-5, 7-8, 12-14, 16-18, 22-23 and 25 are pending and have been examined.

Information Disclosure Statement

The information disclosure statement filed 09 February 2001 fails to list its contents and thus is not considered.

Claim Rejections - 35 USC § 101

1. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claim 18 and 22 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claim 18 recites a "network system" which does not necessarily contain hardware, thus the claim is software *per se*.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 1-5 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. All occurrences of "after" clauses must be removed as this was not disclosed in the originally filed disclosure.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1-4, 7-8, 12, 18 and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by **Fletcher** et al. (USPN 6,009,274).

Claim 1

Fletcher disclosed a method for installing systems management software on a host device to be remotely monitored, comprising:

communicatively linking an installation station and a host device,
wherein the host device is positioned remote from the installation station
(column 5, lines 5-52);

receiving over the communication link at the installation station
computing environment information for the host device *(column 5, lines 5-52;*
column 10, lines 58-60; environment information being the information of needed
outdated software);

after the receiving of the computing environment information, loading an
installation tool configured to automatically install the systems management
software on the host device *(column 9, lines 17-29; self installing files);*

after the loading of the installation tool, transmitting a software payload
comprising the systems management software from the installation station to
the host device *(column 5, lines 5-52 and column 9, lines 17-29; required*
files/components for update/install);

first operating the installation tool to automatically install the software
payload on the host device *(column 9, lines 17-29); and*

second operating the installation tool to automatically configure the
installed software payload based on the comprising environment information,
wherein the computing environment information comprises thresholds based
on configuration of the host device and the automated configuring comprises
modifying the installed software payload based on the thresholds *(column 9,*
lines 17-29; configuring by arranging the payloads via adding/replacing

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appropriate components of the systems, and configured by the fact that certain versions and file/components must be added or replaced),

wherein the installation station accesses data storage storing differing ones of the systems management software and selects the software payload from the differing ones based on the received computing environment information (*column 5, lines 29-36*).

Claim 2

Fletcher disclosed the method of claim 1, wherein the computing environment information includes information selected from the group consisting of host information, identification of modules for monitoring the host device, thresholds based on configuration of the host device, and installation commands to run during the first operating (*column 10, lines 58-60, thresholds are at least versions*).

Claim 3

Fletcher disclosed the method of claim 1, further including loading a survey tool on the host device and running the survey tool to automatically gather the computing environment information (*column 5, lines 5-52; function of the agent*).

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Claim 4

Fletcher disclosed the method of claim 3, further including prior to the installation tool loading and the survey tool loading, transmitting the installation tool and the survey tool from the installation station to the host device (*column 5, lines 5-52 and column 9, lines 1-42; the updated agent and the self installation package*).

Claim 7

Fletcher disclosed a method of deploying systems management software within a network including multiple managed hosts, comprising:

positioning an installation station within the network, wherein the installation station includes data storage for storing the systems management software and is in communication with a first and a second one of the managed hosts (*column 5, lines 5-52; managed hosts are systems with agents on them*);

transmitting from the first and second ones an installation initiation request to the installation station (*column 5, lines 29-31; agent request needed files/components*);

in response to receiving the installation requests, establishing with the installation station a first active installation session and a second active installation session (*column 5, lines 31-38*);

at the first and the second ones, downloading a survey tool from the installation station (*column 5, lines 5-52; column 9, lines 1-42; the updated agent*);

executing the downloaded survey tools to gather environment information for the first and second ones and to create output files comprising the gathered environment information, wherein the gathered environment information for the first one differs from the gathered environment information for the second one (*column 5, lines 31-33; column 10, lines 58-60; environment information is the differing components required by the various agents' systems*);

at the first and the second ones, downloading an installation tool from the installation station (*column 5, lines 5-52; column 9, lines 17-29*);

transmitting the output files from the first and second ones to the installation station (*column 5, lines 27-30; environment information goes up to the server*);

in response to receiving the output files, transferring a payload of the systems management software to the first and second ones, wherein prior to the transferring a first portion of the systems management software is selected for inclusion in the payload to the first one based on the gathered environment information and a second portion of the systems management software is selected for inclusion in the payload to the second one based on the gathered environment information (*column 5, lines 31-36; environment information is the differing components required by the various agents' systems*);

at the first and second ones, installing the transferred payloads with the installation tool (*column 5, lines 5-52; column 9, lines 17-29*); and

after the installing of the transferred payloads, configuring the installed payloads at the first and second ones based on the differing environment information (*column 5, lines 5-52; column 9, lines 17-29, configuring by arranging the payloads via adding/replacing appropriate components of the systems*), wherein the transferring and installing of the payloads is remotely managed with the first and second active installation sessions at the installation station (*column 5, lines 5-52; ASU manager*).

Claim 8

Fletcher disclosed the method of claim 7, wherein the survey tool downloading, the executing, the installation tool downloading, the transmitting, and the installing occur at least partially concurrently at the first and the second ones of the managed hosts (*figure 5, Agent Pool; column 5, lines 35-44; a group of agent systems "at least partially concurrently" operating with the server*).

Claim 12

Fletcher disclosed the method of claim 7, further including allocating network addresses to network devices associated with the first and second ones (*column 5, line 11; column 7, lines 38-43*).

Claim 18

Fletcher disclosed a network system for remotely monitoring an operating computer system, comprising:

a managed host in the operating computer system linked to a communications network (*column 5, lines 5-52, agent machine is the managed host*), the managed host including a survey tool for automatically gathering environment information and an installation tool for transmitting the environment information over the communications network and for automatically installing systems management software on the managed host (*column 5, lines 5-52; column 9, lines 17-29; column 10, lines 58-60; agent gathers information and agent and self-extracting file install*);

an installation station linked to the communications network configured to receive the environment information and in response to transmit a payload of the systems management software to the managed host (*column 5, lines 26-35*); and

a remote service linked to the communications network and operable to monitor operation of the computer system via execution of the installed systems management software on the managed host (*column 5, lines 5-52; column 9, lines 17-29; the agent*);

wherein the installation station tool is further configured to modify the installed systems management software based on the environment information

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(column 9, lines 20-26; self installer installs and replaces appropriate files and components based upon what is required to be updated/installed) and wherein the installation station processes the environment information to select the payload to match the environment information (column 5, lines 29-31).

Claim 23

Fletcher disclosed a method for installing systems management software on a host device to be remotely monitored, comprising:

communicatively linking with a link an installation station and the host device, wherein the host device is positioned remote from the installation station *(column 5, lines 5-52; host device being the system with the agent);*

installing a survey tool on the host device operable to gather computing environment information, wherein the computing environment information comprises thresholds calculated by the survey tool *(column 5, lines 5-52; the agent; calculated environment information being the required components for update/install; the agent had to determine this information which means it was calculated, this includes version numbers);*

receiving over the communication link at the installation station the computing environment information for the host device, the computing environment information comprising the calculated thresholds *(column 5, lines 27-33);*

loading an installation tool configured to automatically install the systems management software on the host device (*column 5, lines 5-52; column 9, lines 17-29*);

transmitting a software payload comprising the systems management software from the installation station to the host device, wherein the software payload is not presently running on the host device (*column 5, lines 5-52; the required components to update/install; further column 9, lines 17-29, specifically updates the agent itself*);

first operating the installation tool to automatically install the software payload on the host device (*column 9, lines 17-29*); and

second operating the installation tool to automatically configure the installed software payload based on the computing environment information including the calculated thresholds (*column 9, lines 17-29; configured by the fact that certain versions and file/components must be added or replaced*).

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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8. Claim 5, 14 and 16-17 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Fletcher** et al. (USPN 6,009,274) in view of Applicant Admitted Prior Art (**APA**, Applicant's uncontested Official Notice).

Claim 5

Fletcher did not explicitly state the method of claim 3, wherein the survey tool is configured to create an extensible markup language (XML) descriptor file including the computing environment information. **APA** demonstrated that it was known at the time of invention to utilize files as a medium of transport and storage of output and a common type of file is an XML file. It would have been obvious to one of ordinary skill in the art at the time of invention to implement the output of **Fletcher** within an XML file. This implementation would have been obvious because one of ordinary skill in the art would be motivated to produce output in such a manner as is standard (and thus easy to implement, XML is a standard file type used in networks especially) in the computer world.

Claim 14

Fletcher disclosed a networked method for automatically deploying and installing agent software in a network computer device, comprising:

communicatively linking an installation station via a communications network to the network computer device (*column 5, lines 5-52; network computer device is the system with the agent*);

downloading a survey script from the installation station onto the network computer device (*column 5, lines 5-52; agent*);

executing the survey script to automatically create an output file defining a computing environment for the network computer device (*column 5, lines 5-52; information about what is needing updated/installed*);

downloading an installation Daemon from the installation station onto the network computer device (*column 9, lines 17-29; updated agent*);

using the installation Daemon to retrieve the output file and transfer a copy of the output file to the installation station (*column 5, lines 5-52; information about what is needing updated/installed*);

in response to receiving the copy, transferring the agent software to the network computer device over the communications network (*column 9, lines 1-42*);

automatically installing the agent software on the network computer device with the installation Daemon (*column 9, lines 1-42*); and

with the installation Daemon, performing modifications of the installed agent software based on the output file to enhance operation of the installed agent software (*column 9, lines 17-29; configured by the fact that certain versions and file/components must be added or replaced*).

Fletcher did not explicitly state survey tool being a *script*. **APA** demonstrated that it was known at the time of invention to utilize scripts as executable

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commands. It would have been obvious to one of ordinary skill in the art at the time of invention to implement the system of **Fletcher** with a survey tool as a script. This implementation would have been obvious because one of ordinary skill in the art would be motivated to provide a survey tool as a commonly implemented element such as a script (scripts are often used due to easy maintainability).

Claim 16

Fletcher and **APA** disclosed the method of claim 14, wherein the output file includes information selected from the group consisting of network computer device hardware and software configuration information, identification of modules for monitoring the network computer device, thresholds based on configuration of the network computer device, and installation commands for the installation Daemon to run during the installing (*column 10, lines 58-60, configuration information is the present and required files and components; thresholds are at least versions*).

Claim 17

Fletcher and **APA** disclosed the method of claim 14, wherein the installation Daemon is adapted to create progress messages during the installing and wherein the progress messages are accessible by the network computer device (*column 13, lines 36-39*).

9. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Fletcher** et al. (USPN 6,009,274) in view of “**Microsoft** Computer Dictionary”, Third Edition.

Claim 13

Fletcher did not explicitly state the method of claim 12, wherein the network address allocating is performed at least partially concurrently with the installing and wherein network addresses are selected from network addresses preprogrammed into a router based on a forecasted number of the associated network devices. **Microsoft** demonstrated that it was known at the time of invention to utilize the dynamic SLIP protocol wherein a user's IP address is assigned every time a user connects (page 166; every time a user connects meaning at least partially concurrently) and also DHCP (page 142). It would have been obvious to one of ordinary skill in the art at the time of invention to implement the networked system of **Fletcher** with dynamic allocation of network address using dynamic SLIP. This implementation would have been obvious because one of ordinary skill in the art would be motivated to provide the above system with commonly known technology to efficiently use a limited number of IP addresses (see SLIP definition).

10. Claim 23 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Fletcher** et al. (USPN 6,009,274) in view of **Goldband** et al. (USPN 6,434,532).

Claim 22

Fletcher did not explicitly state the system of claim 18, wherein the installation tool functions to generate an installation report and transmit the installation report to the installation station, wherein the installation station functions in response to the installation report to transmit a request for approval of adding the managed host to the network system to the remote service, and wherein the remote service responds to the request for approval by determining whether to begin monitoring the managed host.

However, **Goldband** demonstrated that it was known at the time of invention to send information about current states and processes to the server (column 3, lines 15-22) and making that information available to the user (column 4, line 63 to column 5, line 12). It would have been obvious to one of ordinary skill in the art at the time of invention to implement the installation system of **Fletcher** with creating progress messages during installation and reporting to interested parties as suggested by **Goldband**'s own teaching. This implementation would have been obvious because one of ordinary skill in the art would be motivated to provide information in the event of an error about services **Goldband** is demonstrated to be performing (column 2, lines 2-17).

Goldband demonstrated that it was known at the time of invention to provide for management functions (column 4, lines 1-23) and report to a central site (column 3, lines 15-22; column 4, line 63 to column 5, line 12). It would have been obvious to one of ordinary skill in the art at the time of invention to implement the network system of **Fletcher** with conditional inclusion depending on a report of installation. This implementation would have been obvious because one of ordinary skill in the art would be motivated to provide the server with communicating to fully functioning agent or other software that is installed error free.

11. Claim 25 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Fletcher** et al. (USPN 6,009,274) in view of **Platt** (USPN 5,421,009).

Claim 25

The limitations of claims 25 correspond to claims 1-4, 7-8, 12, 18 and 22-23 and as such are rejected in the same manner. **Fletcher** did not explicitly state determining commands to run during installation via the survey tool. **Platt** demonstrated that it was known at the time of invention to determine necessary commands from surveying a target system (column 2, lines 12-18). It would have been obvious to one of ordinary skill in the art at the time of invention to implement the surveying installation system of **Fletcher** with

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determining necessary commands are present as found in **Platt's** teaching.

This implementation would have been obvious because one of ordinary skill in the art would be motivated to provide assurance that a system can perform correctly and thus accomplish the task (column 2, lines 14-16).

Response to Arguments

12. Applicant's arguments with respect to claims 1-5, 7-8, 12-14, 16-18, 22-23 and 24 have been considered but are moot in view of the new ground(s) of rejection. Further the current action is non-final considering the new ground(s) of rejection.

Correspondence Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to William H. Wood whose telephone number is (571)-272-3736. The examiner can normally be reached 9:00am - 5:30pm Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki can be reached on (571)-272-3719. The fax phone numbers for the organization where this application or proceeding is assigned are (571)273-8300 for regular communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-3900.



William H. Wood
Patent Examiner
AU 2193
April 16, 2007